

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10800572
Filing Date	2004-03-15
First Named Inventor	Brian J. Brown
Art Unit	3773
Examiner Name	Vy Q. Bui
Attorney Docket Number	S63.2-6769-US05

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
N.B./	1	2836181		1958-05-27	Tapp	
	2	3105492		1963-10-01	Jeckel	
	3	3272204		1966-09-13	Artandi et al.	
	4	3490975		1970-01-20	Lightwood et al.	
	5	3509883		1970-05-05	Dibelius	
	6	3526228		1970-09-01	Lyng	
	7	3562820		1971-02-16	Braun	
N.B./	8	3635215		1972-01-18	Shen et al.	

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/V.B./	9	3657744		1972-04-25	Ersek	
	10	3771526		1973-11-13	Rudle	
	11	3868956		1975-04-04	Alfidi et al.	
	12	3993078		1976-11-23	Bergentz et al.	
	13	4078167		1978-03-07	Banas et al.	
	14	4127761		1978-11-28	Pauley et al.	
	15	4130904		1978-12-26	Whalen	
	16	4140126		1979-02-20	Choudhury	
	17	4141364		1979-02-27	Schultze	
	18	4164045		1979-09-14	Bokros et al.	
↓ /V.B./	19	4214587		1980-07-29	Sakura, Jr.	

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N.B./ ↓	20	4300244		1981-11-17	Bokros	
↓	21	4313231		1982-02-02	Koyamada	
↓	22	4319363		1982-03-16	Ketharanathan	
↓	23	4425908		1984-01-17	Simon	
↓	24	4441215		1984-04-10	Kasier	
↓	25	4470407		1984-09-11	Hussein	
↓	26	4501264		1985-02-26	Rockey	
↓	27	4503569		1985-03-12	Dotter	
↓	28	4512338		1985-04-23	Balko et al.	
↓	29	4535770		1985-08-20	Lemole	
↓ N.B./	30	4550447		1985-11-05	Seiler, Jr. et al.	

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N.B./ ↓	31	4553545	1985-11-19	Maass et al.	
↓	32	4560374	1985-12-24	Hammersing	
↓	33	4580568	1986-04-08	Gianurco	
↓	34	4597389	1986-07-01	Ibrahim et al.	
↓	35	4647416	1987-03-03	Seiler, Jr. et al.	
↓	36	4649922	1987-03-17	Wiktor	
↓	37	4655771	1987-04-07	Wallsten	
↓	38	4655776	1987-04-07	Lesinski	
↓	39	4665918	1987-05-19	Garza et al.	
↓	40	4681110	1987-07-21	Wiktor	
N.B./ ↓	41	4693721	1987-09-15	Ducheyne	

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/V.B./	42	4733665		1988-03-29	Palmaz	
	43	4739762		1988-04-26	Palmaz	
	44	4740207		1988-04-26	Kreamer	
	45	4760849		1988-08-02	Kropf	
	46	4762128		1988-08-09	Rosenbluth	
	47	4768507		1988-09-06	Fischell et al.	
	48	4769029		1988-09-06	Patel	
	49	4771773		1988-09-20	Kropf	
	50	4776337		1988-10-11	Palmaz	
	51	4787899		1988-11-29	Lazarus	
/V.B./	52	4795458		1989-01-03	Regan	

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N.B./ ↓	53	4795465		1989-01-03	Marien	
↓	54	4800882		1989-01-31	Gianurco	
↓	55	4820298		1989-04-11	Leveen et al.	
↓	56	4830003		1989-05-16	Wolff et al.	
↓	57	4842575		1989-06-27	Hoffman, Jr. et al.	
↓	58	4848343		1989-07-18	Wallsten et al.	
↓	59	4851009		1989-07-25	Pinchuk	
↓	60	4856516		1989-08-15	Hillstead	
↓	61	4872874		1989-10-10	Taheri	
↓	62	4877030		1989-10-31	Beck et al.	
N.B./ ↓	63	4878906		1989-11-07	Lindemann et al.	

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N.B./ ↓	64	4886062		1989-12-12	Wiktor	
↓	65	4913141		1990-04-03	Hillstead	
↓	66	4922905		1990-05-08	Strecker	
↓	67	4950227		1990-08-21	Savin et al.	
↓	68	4950258		1990-08-21	Kawai et al.	
↓	69	4994071		1991-02-19	MacGregor	
↓	70	5015253		1991-05-14	MacGregor	
↓	71	5019090		1991-05-28	Pinchuk	
↓	72	5035706		1991-07-30	Gianurco et al.	
↓	73	5037392		1991-08-06	Hillstead	
↓ N.B./	74	5059211		1991-10-22	Stack et al.	

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/V.B./ ↓	75	5064435		1991-11-12	Porter	
↓	76	5092877		1992-03-03	Pinchuk	
↓	77	5102417		1992-04-07	Palmaz	
↓	78	5104399		1992-04-14	Lazarus	
↓	79	5104404		1992-04-14	Wolff	
↓	80	5108415		1992-04-28	Pinchuk et al.	
↓	81	5108417		1992-04-28	Sawyer	
↓	82	5122154		1992-06-16	Rhodes	
↓	83	5133732		1992-07-28	Wiktor	
↓	84	5135536		1992-08-04	Hillstead	
/V.B./ ↓	85	5139480		1992-08-18	Hickle et al.	

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N.B./ ↓	86	5147385		1992-09-15	Beck et al.	
↓	87	5147400		1992-09-15	Kaplan et al.	
↓	88	5158548		1992-10-27	Lau et al.	
↓	89	5163952		1992-11-17	Froix	
↓	90	5195984		1993-03-23	Schatz	
↓	91	5197978		1993-03-30	Hess	
↓	92	5217483		1993-06-08	Tower	
↓	93	5226913		1993-07-13	Pinchuk	
↓	94	5282823		1994-02-01	Schwartz et al.	
↓	95	5282824		1994-02-01	Gianrurco	
N.B./ ↓	96	5292331		1994-03-08	Boneau	

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N.B./ ↓	97	5304200		1994-04-19	Spaulding	
↓	98	5344425		1994-09-06	Sawyer	
↓	99	5354308		1994-10-11	Simon et al.	
↓	100	5354309		1994-10-11	Schnepf-Pesch	
↓	101	5383892		1995-01-24	Cardon et al.	
↓	102	5405377		1995-04-11	Cragg	
↓	103	5411552		1995-05-02	Andersen et al.	
↓	104	5449373		1995-09-12	Pinchasik et al.	
↓	105	5591197		1997-01-07	Orth et al.	
↓	106	5609627		1997-03-11	Goicoechea et al.	
N.B./ ↓	107	5649952		1997-05-22	Lam	

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N.B./ ↓	108	5697971		1997-12-16	Fischell et al.	
↓	109	5707386		1998-01-03	Schnepp-Pesch et al.	
↓	110	5716393		1998-02-10	Lindenberg et al.	
↓	111	5718713		1998-02-17	Frantzen	
↓	112	5735893		1998-04-07	Lau et al.	
↓	113	5741327		1998-04-21	Frantzenq	
↓	114	5755776		1998-05-26	Al-Saadon	
↓	115	5755781		1998-05-26	Jayaraman	
↓	116	5776161		1998-07-07	Globerman	
↓	117	5776183		1998-07-07	Kanesaka et al.	
N.B./ ↓	118	5800521		1998-09-01	Orth	

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N.B./ ↓	119	5807404	1998-09-15	Richter	
↓	120	5810872	1998-09-22	Kanesaka et al.	
↓	121	5824059	1998-10-20	Wijay	
↓	122	5836966	1998-11-17	St. Germain	
↓	123	5855597	1999-01-05	Jayaraman	
↓	124	5855600	1999-01-05	Alt	
↓	125	5868780	1999-02-09	Lashinski et al.	
↓	126	5876432	1999-03-02	Lau et al.	
↓	127	5895407	1999-04-20	Jayaraman	
↓	128	5911754	1999-06-15	Kanesaka et al.	
↓ N.B./	129	5913895	1999-06-22	Burpee et al.	

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N.B./ ↓	130	5922021		1999-07-13	Jang	
	131	5925061		1999-07-20	Ogi et al.	
	132	5938697		1999-08-17	Killion et al.	
	133	5948016		1999-09-07	Jang	
	134	5954743		1999-09-21	Jang	
	135	6017365		2000-01-25	Von Oepen	
	136	6056775		2000-05-02	Borgh et al.	
	137	6068656		2000-05-30	Von Oepen	
	138	6106548		2000-08-22	Roubin et al.	
	139	6129755		2000-10-10	Mathis et al.	
↓ N.B./	140	6132460		2000-10-17	Thompson	

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N.B./ ↓	141	6193747		2001-02-27	Von Oepen	
↓	142	6206911		2001-03-27	Milo	
↓	143	6203569		2001-03-20	Wijay	
↓	144	6231598		2001-05-15	Berry et al.	
↓	145	6395020		2002-05-28	Ley et al.	
↓	146	6471720		2002-10-29	Ehr et al.	
↓	147	6533808		2003-03-18	Thompson	
↓	148	6613081		2003-09-02	Kim et al.	
↓	149	6730117		2004-05-04	Tseng et al.	
↓	150	6962603		2005-11-08	Brown et al.	
N.B./ ↓	151	6981986		2006-01-03	Brown et al.	

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	152	7031687		2006-04-18	Tseng et al.	
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N.B./	3	20020177893		2002-11-28	Brown et al.	

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N.B./	1	0541443	EP		1993-05-12	Ansel et al.		<input type="checkbox"/>
N.B./	2	6-4175	JP		1994-03-06	Anezaki et al.		<input type="checkbox"/>
N.B./	3	0606165	EP		1994-07-13	Miksza		<input type="checkbox"/>

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N.B./ ↓	4	9704721	WO		1997-02-13	Lee et al.		<input type="checkbox"/>
	5	9714375	WO		1997-02-24	Wijay		<input type="checkbox"/>
	6	29701758	DE		1997-03-27	Jomed Implantate GmbH		<input type="checkbox"/>
	7	9725937	WO		1997-07-24	Jang		<input type="checkbox"/>
	8	9732543	WO		1997-09-12	Penn et al.		<input type="checkbox"/>
	9	9732544	WO		1997-09-12	Penn et al.		<input type="checkbox"/>
	10	9733534	WO		1997-09-18	Globerman et al.		<input type="checkbox"/>
	11	0796597	EP		1997-09-24	Lashinshi et al.		<input type="checkbox"/>
	12	9740874	WO		1997-11-06	Elsberry et al.		<input type="checkbox"/>
	13	0821920	EP		1998-02-04	Fischell et al.		<input type="checkbox"/>
N.B./ ↓	14	29716476	DE		1998-02-05	Convent G		<input type="checkbox"/>

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N.B./	15	9820810	WO		1998-05-22	Kim	<input type="checkbox"/>
N.B./	16	0876806	EP		1998-11-11	Sabaria	<input type="checkbox"/>
N.B./	17	29816878	DE		1998-12-24	Schmitz-Rode T	<input type="checkbox"/>

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N.B./	1	Application No.: 08/396569; Filed: 1995-03-01; Inventor: Brown.	<input type="checkbox"/>
N.B./	2	SMART(TM) Stent Brochure, Cordis, a Johnson & Johnson company, date unknown.	<input type="checkbox"/>
N.B./	3	Beyar et al., "The BeStent: The Parallel-Serial Jang Stents", Handbook of Coronary Stents, Second Edition, 158-171 and 229-234 (1998).	<input type="checkbox"/>
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N.B./	5	Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", The American Journal of Cardiology, 80:1155-1162 (November 1997).	<input type="checkbox"/>
N.B./	6	Roguin et al., "BeStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experiences", Artif Organs, 22(3): 243-249 (March 1998).	<input type="checkbox"/>

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N.B./	7	Japanese Infringement Search on articulated Expandable Stents, Dated July 12, 1995.	<input type="checkbox"/>
	8	ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp. 414-421.	<input type="checkbox"/>
	9	Cambridge Dictionary of Science and Technology, Cambridge University Press p. 128.	<input type="checkbox"/>
	10	Manufacturing Processes for Engineering Materials, by Serope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.	<input type="checkbox"/>
	11	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, CIRCULATION, Vol 79, No. 2, February 1989, pp. 445-457.	<input type="checkbox"/>
	12	The Self-Expanding Mesh Stent, by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.	<input type="checkbox"/>
	13	Starck, E., "First Clinical Experience with the Memotherm Vascular Stent", STENTS State of the Art Future Developments, pages 59-62 (June 1995).	<input type="checkbox"/>
	14	Melzer, A. et al., "Performance Improvement of Surgical Instrumentation Through the Use of Ni-Ti Materials, Proceedings of SMST-94 The First International Conference on Shape Memory and Superelastic Technologies, pages 401-409 (March 7-10, 1994).	<input type="checkbox"/>
	15	Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., JOURNAL OF CLINICAL ENGINEERING, Vol. 11, No. 4, July-August 1986, pp. 291-296.	<input type="checkbox"/>
	16	Self-Expanding Stainless Steel Biliary Stents, By Harold G. Coons, MD, RADIOLOGY 1989, Vol. 170, No. 3, Part 2, pp. 979-983.	<input type="checkbox"/>
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N.B./ ↓	18	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents, 1989.	<input type="checkbox"/>
↓	19	Expandable Biliary Endoprosthesis: An Experimental Study, By Carrasco et al., AJR, Vol. 145, December 1985, pp. 1279-1282.	<input type="checkbox"/>
↓	20	Gianturco Expandable Metallic Biliary stents: Results of a European Clinical Trial, By Irving, et al., Interventional Radiology, Vol. 172, No. 2, August 1989, pp. 321-326.	<input type="checkbox"/>
↓	21	Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications, Work in Progress, By Wallace et al., RADIOLOGY, February 1986, pp. 309-312.	<input type="checkbox"/>
↓	22	Brochure Entitled AVE MICRO STENT, Instructions for Use, By Applied Fascular Engineering, Inc., pp. 1-15.	<input type="checkbox"/>
N.B./ ↓	23	Brochure Entitled MICRO STENT, By Applied Vascular Engineering, Inc.	<input type="checkbox"/>

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